

AP/ 2132

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**JP920000150US1**

In Re Application Of: **Gupta et al.**

Application No. **09/626,637**  
Filing Date **7/27/00**

Examiner  
**Shin, Kyung H.**

Customer No.  
**30449**

Group Art Unit  
**2132**

Confirmation No.

Invention: **METHOD AND SYSTEM FOR AUTHENTICATION WHEN CERTIFICATION AUTHORITY  
PUBLIC AND PRIVATE KEYS EXPIRE**

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

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Dated: **10/19/2004**

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**10/19/2004**

(Date)

  
Signature of Person Mailing Correspondence

**Kim Dwileski**

Typed or Printed Name of Person Mailing Correspondence

cc:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>JP920000150US1</b>		APPLICATION NO. <b>09/626.637</b>	
				APPLICANT(S) <b>Gupta et al.</b>			
				FILING DATE <b>7/27/00</b>		GROUP ART UNIT <b>2132</b>	

OCT 22 2004  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

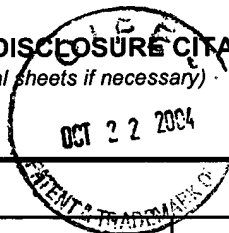
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 97/18655	5/22/1997	PCT				
		2000-49766	2/18/2000	Japan				
		10-051442	2/20/1998	Japan				
		2001-344368	12/14/2001	Japan				
		2000-059353	2/25/2000	Japan				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>JP920000150US1</b>		APPLICATION NO. <b>09/626,637</b>	
				<b>Gupta et al.</b> FILING <b>7/27/00</b> GROUP ART <b>2132</b>			



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		10-020779	1/23/1998	Japan				
		09-261218	10/3/1997	Japan				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUG 18 2004

RAK/saw

\*\*\* Information Materials for IDS \*\*\* - Under Final

To RSW IP LawDocket Number JP9-2000-0150Date Aug. 9, 2004Prepared by Erika WatanabeDate of JPO Office Action July 27, 2004**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	WO 97 / 18655	05/22/97	Y	1-10
B	PUPA 2000-049766	02/18/00	Y	1-10
C	PUPA 10-051442	02/20/98	Y	1-10
D	PUPA 09-261218	10/03/97	Y	2,3,7,8
E				
F				

## &lt;NOTE&gt;

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ \* : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA	PUPA 2001-344368	bgD	
bgB	PUPA 2000-059353	bgE	
bgC	PUPA 10-020779	bgF	

Comment, if any :

to be continued ☐

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--END IP LAW--